

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): K. FUJIMOTO, et al

Serial No.: Not yet assigned

Filed: August 20, 2003

For: DISK ARRAY CONTROLLER, ITS DISK ARRAY CONTROL UNIT,  
AND INCREASE METHOD OF THE UNIT

Group: 2187

Examiner: H. Nguyen

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

**MS DD**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 20, 2003

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

Applicant(s) are submitting herewith a copy of Form PTO-1449 which list documents cited in parent application(s) Serial No. 10/335,899, filed January 3, 2003; Serial No. 10/234,471, filed September 5, 2002, now U.S. Patent No.

6,519,680; which is a continuation of application Serial No. 09/663,379, filed September 15, 2000, now U.S. Patent No. 6,477,619.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (520.38992CX3) please credit any excess fees to such deposit account.

Respectfully submitted,



\_\_\_\_\_  
Carl I. Brundidge  
Registration No. 29,621  
ANTONELLI, TERRY, STOUT & KRAUS, LLP

CIB/jdc  
(703) 312-6600

<b>FORM PTO-1449</b> U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	520.38992CX3	Not yet assigned
	APPLICANT K. FUJIMOTO, et al	
	FILING DATE August 20, 2003	GROUP 2187

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING D. IF APPROP
	5 6 0 3 0 0 5	2/97	Bauman et al	710	317	
	5 6 8 0 6 4 0	10/97	Ofek et al	709	100	
	5 8 4 1 7 7 5	11/98	Huang, Alan	340	825.29	
	5 9 6 0 4 5 5	9/99	Bauman	711	120	
	6 0 0 6 2 9 6	12/99	Gold et al	710	100	
	6 0 9 2 1 5 5	7/00	Olnowich	709	200	
	6 4 7 7 6 1 9	11/02	Fujimoto			
	6 0 8 5 3 3 3	7/00	DeKoning et al	714	7	
	6 2 5 6 7 4 9	7/01	Kakuta et al	714	6	
	6 3 8 5 6 8 1	5/02	Fujimoto et al	710	316	
	6 4 2 5 0 4 9	7/02	Yamamoto et al	711	112	

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT
					YES
1 1 6 6 6 9 3	3/99	Japan			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

**FORM PTO-1449** U.S. Department of  
Commerce (Rev. 4/92) Patent and Trademark  
Office

ATTY. DOCKET NO.

520.38992CX3

SERIAL NO.

Not yet assigned

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

APPLICANT

K. FUJIMOTO, et al

FILING DATE

August 20, 2003

GROUP

2187

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING D. IF APPROP
2 0 0 1	0 0 0 1 3 2 5	5/01	Fujimoto et al	711	114	
2 0 0 2	0 0 9 1 8 9 8	7/02	Matsunami et al	711	114	
6	5 1 9 6 8 0	2/03	Fujimoto			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT
					YES

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

---

---

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

---

---

(Form PTO-1449 [6-4])